



Statement of Financial Condition of

(Name of Applicant)

The attached Balance Sheet reflects the financial condition of the above registrant as of _____, 20__ (which must be within 45 days of date of filing the Application) and is true and correct to the best of my knowledge and belief.

(Signature and title of person making the oath or affirmation)

On this ____ day of _____, 20__ before me personally came known to me to be the individual described herein and who executed the foregoing instrument and he/she duly acknowledged to me that he/she executed the same.

(Affix Notary Seal)

(Signature of Notary Public)

My Commission Expires ___/___/ 20____

Please return this form with the attached Balance Sheet form to:

Participant Services Department
Chicago Stock Exchange, Inc.
440 S. LaSalle Street, Suite 800
Chicago, IL 60605