



## Attribution and Anonymity Order Entry Options November 2007

CHX Participants can specify whether a displayable order should be disseminated in the CHX Book on an attributed or anonymous basis. The participant's attributed or anonymous election will also determine whether the entering participant's CHX Trading Account ID is included in execution and clearing reports; however, clearing report anonymity will be implemented later in Q1 2008.

Unless specified otherwise, CHX's default setting is to process orders on an attributed basis. Participants have two options for selecting attributed or anonymous treatment.

The firm can specify that the system Anonymity\_Type\_Code be set such that all orders sent by a participant are treated as anonymous or attributed as specified by the firm. ***Participants that wish to set system Anonymity\_Type\_Code settings for all orders should contact Celeste Gianfrancisco at 312-663-2038 or [cgfrancisco@chx.com](mailto:cgfrancisco@chx.com).***

Alternatively, the CHX FIX and Direct Access Server (DAS) protocols support attributed or anonymous designation on an order-by-order basis as described below.

Tag	Field Name	Req'd	Comments
7391	AnonymityFlag	N	<p>Flag to determine whether the order sender is anonymous.</p> <p>N = Order sender will <b>NOT</b> be anonymous and will be displayed in the CHX Book Feed and as ContraBroker in execution and clearing reports.</p> <p>Y = Order sender <b>will be</b> anonymous in the CHX Book Feed and as ContraBroker in execution and clearing reports.</p> <p>Note: If this tag is omitted, orders will <b>NOT</b> be anonymous. Instead of using this tag, the firm can request all orders to the CHX remain anonymous by contacting Customer Service.</p>