

**CHX Participant Firms**  
**Last updated January 31, 2012**

<b>Current Firm</b>	<b>Firm Address</b>	<b>Firm Address2</b>	<b>Firm City</b>	<b>Firm State</b>	<b>Firm Zip</b>
ABN AMRO CLEARING CHICAGO LLC	175 W. Jackson Blvd.	Suite 400	Chicago	IL	60604
ACER INVESTMENT GROUP, LLC	75 Claremont Road		Bernardsville	NJ	07924
ASSENT, LLC	5 Marine View Plaza		Hoboken	NJ	07030
AUTOMATED TRADING DESK BROKERAGE SERVICES LLC	11 E. Wall Street		Mt Pleasant	SC	29464
AUTOMATED TRADING DESK FINANCIAL SERVICES, LLC	11 E. Wall Street		Mt Pleasant	SC	29464
BARCLAYS CAPITAL INC.	200 Park Ave		New York	NY	10166
BENGAL CAPITAL, LLC	440 S LaSalle Street	Suite 2121	Chicago	IL	60605
BERNSTEIN (SANFORD C.) & COMPANY, LLC	1345 Avenue of the Americas	17th Floor	New York	NY	10105
BLACK (JAMES I.) & COMPANY	311 South Florida Avenue		Lakeland	FL	33801
BLAIR (WILLIAM) & COMPANY, LLC	222 West Adams Street		Chicago	IL	606065312
BLOOM SECURITIES, LLC	440 S. LaSalle Street	7th floor	Chicago	IL	60605
BLUE FIRE CAPITAL LLC	311 S. Wacker Drive	Ste 2000	Chicago	IL	60606
BMO CAPITAL MARKETS CORP.	3 Times Square		New York	NY	10036
BNP PARIBAS SECURITIES CORP.	787 Seventh Ave		New York	NY	10019
BNY CONVERGEX EXECUTION SOLUTIONS LLC	1633 Broadway, 48th Floor		New York	NY	100196708
BRIGHT TRADING, LLC	4850 Harrison Drive		Las Vegas	NV	89121
BROWN BROS. HARRIMAN & CO.	150 South Wacker Drive	Suite 3250	Chicago	IL	60606
CHEEVERS & CO., INC.	440 S. LaSalle Street	Suite 710	Chicago	IL	60605
CHICAGO CAPITAL MANAGEMENT LP	311 S Wacker Drive	Suite 4375	Chicago	IL	60606
CITADEL SECURITIES, LLC	131 South Dearborn Street	32nd Floor	Chicago	IL	60603
CITIGROUP GLOBAL MARKETS, INC.	388 Greenwich Ave.		New York	NY	10013
CREDIT AGRICOLE SECS (USA) INC.	1301 Avenue of the Americas		New York	NY	10019
CREDIT SUISSE SECURITIES (USA) LLC	227 West Monroe Street		Chicago	IL	60606
CROWELL, WEEDON & COMPANY	P.O. Box 54843		Los Angeles	CA	90099
DAIWA SECURITIES AMERICA, INC.	Financial Square	32 Old Slip	New York	NY	100053538
DAVIDSON (D.A.) & COMPANY	8 Third Street North		Great Falls	MT	59401
DEUTSCHE BANC SECURITIES, INC.	60 Wall Street		New York	NY	10005
DIRECT EDGE ECN, LLC	545 Washington Blvd		Jersey City	NJ	07310
DOUGALL & ASSOCIATES	440 S. LaSalle Street	7th floor	Chicago	IL	60605
E*TRADE CAPITAL MARKETS, LLC	440 S. LaSalle	Suite #3030	Chicago	IL	60605
E*TRADE CLEARING, LLC	535 Madison Ave.	35th Floor	New York	NY	10022
E*TRADE SECURITIES, LLC	440 S LaSalle Street	Suite 3030	Chicago	IL	60605
ECHOTRADE, LLC	2525 Cambelback Road	Suite 1040	Phoenix	AZ	85016
ELECTRONIC BROKERAGE SYSTEMS LLC	141 W Jackson Blvd	Suite 3510	Chicago	IL	60604
EQUITEC SPECIALISTS, LLC	111 W. Jackson Blvd.	20th Floor	Chicago	IL	60604
VIRTU FINANCIAL CAPTIAL MARKETS LLC	1540 Second Street	Suite 300	Santa Monica	CA	90401

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FIRST CLEARING,LLC	10700 Wheat First Drive	WS1042	Glen Allen	VA	32060
FOG EQUITIES LLC	440 S LaSalle Street	7th floor	Chicago	IL	60605
GDK, INC.	500 Park Avenue		New York	NY	10022
GLP, LLC	141 W. Jackson Blvd	Suite 3550	Chicago	IL	60604
GOLDMAN SACHS EXECUTION & CLEARING LP	30 Hudson Street		Jersey City	NJ	073024699
GOLDMAN, SACHS & COMPANY	4900 Sears Tower		Chicago	IL	60606
GUZMAN & COMPANY	101 Aragon Ave		Coral Gables	FL	331345426
HRT FINANCIAL LLC	32 Old Slip	30th floor	New York	NY	10005
HSBC SECURITIES (USA) INC.	452 Fifth Ave	Tower 7	New York	NY	10018
HUMMER (WAYNE) INVESTMENTS, L.L.C.	222 S Riverside Plaza	28th floor	Chicago	IL	60606
HUNTER CAPITAL MARKETS, LLC	440 S LaSalle Street	Suite 1749	Chicago	IL	60605
INSTINET, LLC.	3 Times Square		New York	NY	10036
INTERACTIVE BROKERS LLC	Two Pickwick Plaza		Greenwich	CT	06830
ITG EXECUTION SERVICES, INC.	4 International Drive		Rye Brook	NY	10573
ITG INC.	380 Madison Ave	4th floor	New York	NY	10017
JAG TRADING, LLC	7125 Orchard Lake Rd	Suite 2020	West Bloomfield	MI	48322
JEFFERIES EXECUTION SERVICES, INC	30 Broad Street	45th Floor	New York	NY	10004
JONES (EDWARD D.) & CO., L.P	12555 Manchester Road		St. Louis	MO	63131
JP MORGAN CLEARING CORP.	115 South Jefferson Road		Whippany	NJ	07981
JP MORGAN SECURITIES LLC.	575 Washington Blvd	16th floor	Jersey City	NJ	07310
JUMP TRADING, LLC	600 W. Chicago Ave	Suite 825	Chicago	IL	60610
KEYBANC CAPITAL MARKETS, INC.	800 Superior Avenue		Cleveland	OH	44114
KNIGHT EXECUTION & CLEARING SERVICES LLC	545 Washington Blvd		Jersey City	NJ	07310
LADENBURG, THALMANN & COMPANY, INC.	520 Madison Avenue	4th floor	New York	NY	10022
LAVAFLOW, INC.	95 Morton Street	7th floor	New York	NY	10014
LAZARD CAPITAL MARKETS , LLC	30 Rockefeller Plaza		New York	NY	100205900
LEGENT CLEARING, LLC.	9300 Underwood Ave	Suite 400	Omaha	NE	68114
LEK SECURITIES CORP.	140 Broadway 29th floor		New York	NY	10005
LIBUCKI & CO., LLC	1900 Market Street	Suite 113	Philadelphia	PA	19103
LOOP CAPITAL MARKETS, LLC	200 W Jackson Blvd	Suite 1600	Chicago	IL	60606
LPL FINANCIAL CORPORATION	One Beacon Street	22nd floor	Boston	MA	021083106
MCNAMARA TRADING CO.	829 Stark Circle		Yardley	PA	19067
MERRILL LYNCH PROFESSIONAL CLEARING CORP	222 Broadway	6th floor	New York	NY	10038
MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.	33 W Monroe Street	25th floor	Chicago	IL	60603
MESIROW FINANCIAL, INC.	350 North Clark Street		Chicago	IL	60610
MORGAN KEEGAN & CO. INC.	Fifty North Front Street	Morgan Keegan Tow	Memphis	TN	38103
MORGAN STANLEY & COMPANY,LLC	440 S LaSalle Street	38th floor	Chicago	IL	60605

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MORGAN STANLEY SMITH BARNEY LLC	2000 Westchester Ave		Purchase	NY	10577
NASDAQ EXECUTION SERVICES, LLC	One Liberty Plaza	165 Broadway	New York	NY	10006
NATIXIS SECURITIES AMERICAS, LLC.	1345 Avenue of the Americas		New York	NY	101054300
NATIONAL FINANCIAL SERVICES, LLC	82 Devonshire Street	G12A	Boston	MA	02109
NEUBERGER BERMAN, LLC	605 Third Avenue		New York	NY	10158
NEWEDGE USA, LLC	630 Fifth Ave	Suite 500	New York	NY	10111
NOLITA CAPITAL LLC	691 S LaSalle Street	Suite 300	Chicago	IL	60605
NOMURA SECURITIES INTERNATIONAL, INC.	2 World Finanical Center		New York	NY	102811198
OLD MISSION CAPITAL LLC	601 S LaSalle Street	3rd floor	Chicago	IL	60605
OPPENHEIMER & CO, INC.	125 Broad Street	Suite 16	New York	NY	10004
PENSON EXECUTION SERVICES, INC.	1700 Pacific Ave	Suite #1400	Dallas	TX	75201
PENSON FINANCIAL SERVICES, INC.	1700 Pacific Ave	SUITE 1400	Dallas	TX	75201
PERSHING, LLC	One Pershing Plaza	6th Floor	New Jersey	NJ	07399
PIPER JAFFRAY & CO.	800 Nicollet Mall		Minneapolis	MN	55440
RAYMOND JAMES & ASSOCIATES, INC.	880 Carillon Parkway	P.O. Box 12749	St. Petersburg	FL	33733
RBC CAPITAL MARKETS LLC.	60 South Sixth Street		Minneapolis	MN	554024422
RGM SECURITIES LLC	221 West 6th Street	Suite 1620	Austin	TX	78701
ROSENBLATT SECS.,INC.	20 Broad Street	26th floor	New York	NY	10005
SG AMERICAS SECURITIES LLC	1221 Ave of the Americas		New York	NY	10020
SPEEDROUTE, LLC	744 Broad Street	22nd floor	Newark	NJ	07102
SPEED TRADING PARTNERS, LLC	601 S LaSalle Street	Suite 303	Chicago	IL	60605
STEPHENS, INC.	111 Center Street	P.O. Box 3507	Little Rock	AR	72201
STIFEL, NICOLAUS & COMPANY, INC.	501 North Broadway		St. Louis	MO	63102
SUN TRADING, LLC	100 S Wacker Drive	Suite 300	Chicago	IL	60606
SWENEY CARTWRIGHT & COMPANY	17 S. High Street		Columbus	OH	43215
TEMPLAR SECURITIES LLC	750 Lake Cook Road #115		Buffalo Grove	IL	60089
UBS FINANCIAL SERVICES, INC.	1285 Avenue of the Americas		New York	NY	10019
UBS SECURITIES, LLC	677 Washington Blvd		Stamford	CT	06912
USAA INVESTMENT MANAGEMENT CO.	9800 Fredericksburg Road		San Antonio	TX	782880453
VIRTU FINANCIAL CAPTIAL MARKETS LLC	1540 Second Street	Suite 300	Santa Monica	CA	90401
VIRTU FINANCIAL BD LLC	645 Madison Ave	16th floor	New York	NY	10022
WELLS FARGO ADVISORS LLC	One North Jefferson Ave		St Louis	MO	63103
WEDBUSH MORGAN SECURITIES, INC.	1000 Wilshire Boulevard		Los Angeles	CA	90017
WELLS FARGO SECURITIES LLC	301 South College Street	TW - 8 Mail Code NC	Charlotte	NC	282880601
WOLVERINE EXECUTION SERVICES, LLC	175 West Jackson Blvd	Suite 200	Chicago	IL	60604
X-CHANGE FINANCIAL ACCESS LLC	440 S LaSalle Street	Suite 3909	Chicago	IL	60605
XAMBALA CAPTIAL LLC	640 W California Ave	Suite 220	Sunnyvale	CA	94086