

August 30 2017
MR-17-07

**CHICAGO STOCK EXCHANGE, INC.
MARKET REGULATION DEPARTMENT
INFORMATION MEMORANDUM**

RE: Consolidated Audit Trail (“CAT”) Industry Update Meeting - September 7, 2017

With the approval of the Consolidated Audit Trail (CAT) National Market System (NMS) Plan in 2016, and the selection of Thesys CAT LLC as the Plan Processor in early 2017, the implementation timelines for establishing the CAT are in effect. The CAT NMS Plan requires exchanges, national securities associations, alternative trading systems, and broker-dealers to submit information on order and trade events for listed and OTC equities and listed options to the CAT on a daily basis, in addition to customer data.

On Thursday, September 7, 2017, at 4:15PM EST, the CAT NMS, LLC Operating Committee will be hosting a Consolidated Audit Trail webcast to discuss current progress on the CAT. Representatives from the CAT NMS, LLC Operating Committee, as well as the CAT Plan Processor, Thesys CAT, will discuss upcoming milestones, requirements, and the timelines and process for development and delivery of the industry technical specifications for compliance with the CAT reporting requirements. The webcast will be recorded and playback will be available on the CAT NMS website (www.catnmsplan.com). Please see the below details for joining the webcast:

Toll Free: +1-888-455-5069
Passcode: 6495498

[Click here to join the virtual webcast \(WebEx\)](#)

We kindly ask that you share this alert with any interested parties.