



January 18, 2017  
MR-17-02

**CHICAGO STOCK EXCHANGE, INC.  
REGULATORY OPERATIONS  
INFORMATION MEMORANDUM**

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**RE: Proposed Rule Change to Adopt the CHX Article 23 Rule Series  
(Consolidated Audit Trail Compliance Rule)**

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On January 17, 2017, the Chicago Stock Exchange, Inc. ("CHX" or "the Exchange") filed SR-CHX-2017-02 with the Securities and Exchange Commission ("SEC") a proposed rule change to adopt the CHX Article 23 Rule Series to implement the compliance rule regarding the National Market System Plan Governing the Consolidated Audit Trail (the "CAT NMS Plan" or "Plan").

CHX's filing can be found here: [http://www.chx.com/\\_posts/rule-filings/ProposedFilings/CHX-2017-02.pdf](http://www.chx.com/_posts/rule-filings/ProposedFilings/CHX-2017-02.pdf).